

10/25/00
JC929 U.S. PTO

10-26-00

Express Mail A: EL254112840US

LIMBACH & LIMBACH L.L.P.
2001 Ferry Building, San Francisco, CA 94111
415/433-4150

Address to:

Box Patent Application
Commissioner for Patents
Washington, D.C. 20231

Attorney's Docket No. TRAF-110

First Named Inventor JUSSI KETONEN

UTILITY PATENT APPLICATION TRANSMITTAL
(under 37 CFR 1.53(b))

SIR:

Transmitted herewith for filing is the patent application entitled:
AUTONOMOUS LOCAL ASSISTANT FOR MANAGING BUSINESS PROCESSES

10/25/00
JC929 U.S. PTO
09/696558

CERTIFICATION UNDER 37 CFR § 1.10

I hereby certify that this New Application and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date October 25, 2000, in an envelope bearing "Express Mail Post Office To Addressee" Mailing Label Number EL254112840US addressed to: Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

JOAN MAZZEO
(Name of person mailing paper)


(Signature)

Enclosed are:

1. Transmittal Form (two copies required)
2. The papers required for filing date under CFR § 1.53(b):
 - i. 27 Pages of specification (including claims and abstract);
 - ii. 17 Sheets of drawings.
_____ formal informal
3. Declaration or oath
 - a. Unsigned (original)
4. — Microfiche Computer Program (Appendix, see 37 CFR 1.96)
5. — Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - i. — Computer Readable Copy
 - ii. — Paper Copy (identical to computer copy)
 - iii. — Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

6. An unsigned assignment of the invention to e-traffic! is attached.
 - i. 37 CFR 3.73(b) Statement (when there is an assignee)
7. Power of Attorney
8. An Information Disclosure Statement (IDS) is enclosed, including a PTO-1449 and copies of ____ references.
9. Preliminary Amendment.
10. Return Receipt Postcard (MPEP 503 -- should be specifically itemized)
11. Other
12. FOREIGN PRIORITY
 Priority of application no. __ filed on __ in __ is claimed under 35 USC 119.

The certified copy of the priority application:

- is filed herewith; or
- has been filed in prior application no. August 25, 2000, or
- will be provided.

English Translation Document (if applicable)

13. FEE CALCULATION

a. Amendment changing number of claims or deleting multiple dependencies is enclosed.

CLAIMS AS FILED

	Number Filed	Number Extra	Rate	Basic Fee (\$710)
Total Claims	21 - 20	* 1	x \$18.00	18.00
Independent Claims	2 - 3	* 0	x \$80.00	0
<input type="checkbox"/> Multiple dependent claim(s), if any			\$270.00	0

*If less than zero, enter "0".

Filing Fee Calculation \$

50% Filing Fee Reduction (if applicable) \$364.00

14. Small Entity Status

- A small entity statement (unsigned) is enclosed.
- A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- is no longer claimed.

15. Other Fees

- Recording Assignment [\$40.00] \$
- Other fees
Specify _____ \$

Total Fees Enclosed \$364.00

16. Payment of Fees

- Check(s) in the amount of \$ 364.00 enclosed.
- Charge Account No. 12-1420 in the amount of \$ _____.
A duplicate of this transmittal is attached.

17. All correspondence regarding this application should be forwarded to the undersigned attorney:

Michael J. Pollock
Limbach & Limbach L.L.P.
2001 Ferry Building
San Francisco, CA 94111
Telephone: 415/433-4150
Facsimile: 415/433-8716

18. Authorization to Charge Additional Fees

- The Commissioner is hereby authorized to charge any additional fees (or credit any overpayment) associated with this communication and which may be required under 37 CFR § 1.16 or § 1.17 to Account No. 12-1420. A duplicate of this transmittal is attached.

LIMBACH & LIMBACH L.L.P.

By:

Michael J. Pollock
Registration No. 29,098
Attorney(s) or Agent(s) of Record

October 25, 2000
(Date)

Attorney Docket No. TRUC-110

Applicant or Patentee: JUSSI KETONEN ET AL.Appn. or Patent No.: Filed or Issued: August 25, 2000For: AUTONOMOUS LOCAL ASSISTANT FOR MANAGING BUSINESS PROCESSESAttorney's
Docket No.: TRAF-110**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

the owner of the small business concern identified below:
 an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN e-traffic!ADDRESS OF CONCERN 1101 San Antonio Road, Suite 404, Mountain View, CA 94303

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled AUTONOMOUS LOCAL ASSISTANT FOR MANAGING BUSINESS PROCESSES by inventor(s) JUSSI KETONEN ET AL. described in

the specification filed herewith with title as listed above.
 application no. , filed .
 patent no. , issued .

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

NAME _____
 ADDRESS _____
 Individual Small Business Concern Nonprofit Organization

NAME _____
 ADDRESS _____
 Individual Small Business Concern Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING _____
 TITLE OF PERSON OTHER THAN OWNER _____
 ADDRESS OF PERSON SIGNING 1101 San Antonio Road, Suite 404, Mountain View, CA 94303

SIGNATURE: _____ DATE: _____